## STRATEGIES FOR DATA ANALYSIS: RCT = RANDOMISED CONTROLLED TRIALS IN COMMUNITY INTERVENTIONS

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- Definition:
- RCT: a means to evaluate clinical treatments, preventive screening manœuvres and health and educational interventions
- Randomisation: study subjects are assigned to treatment or control groups randomly:
  - to reduce selection bias and prevent confounding subjects should have the same probability of being included in the Tt or control groups

- Bias: a systematic error that contributes systematically high or low compared to the real value
- Two main types:
  - Selection bias: bias introduced in the course of selecting the cases or controls
  - Confounding bias: also called confounding variables = confusion of effects. The statistical association observed does not correspond to the biologic, pathologic or etiologic reality but is explained by a third factor

#### Sources of bias

- Inclusion and exclusion criteria
- Sensitivity and specificity of dg tests
- Selection of controls
- Design
- Analysis

- Strategies for the prevention of bias:
  - Randomisation during experimental studies
  - Matching and or
  - Restriction to those cases without confounding factors

- Properties of randomisation
  - Reduces selection bias
  - Provides study groups with known statistical properties
  - Provides statistical bases for tests of significance

#### Uses of RCTs

- Evaluate Tts or interventions for important clinical diseases
- Evaluate cases where there is uncertainty regarding the effectiveness or available Tts or forms of care
- Evaluate psychological and social interventions

#### RCTs are not useful in:

- Cases where all confounding factors are known
- Where prognosis is certainly known
- When expected Tt effect is very large

- The randomisation process
- Basic subjects of randomisation are:
  - Individuals or patients allocated to a Tt, placebo or a new form of care
- The research unit in charge of randomisation is centrally located
- Clinicians communicate with it by fax or telephone, e-mail
- Once a subject agrees to participate he/she is randomised and Tt starts

- Selection of randomisation method depends on circumstances of the research project
- Important issues to be considered in the process must be
  - a. Formal
  - b. Unpredictable
  - c. Reproducible
  - d. Secure
  - e. Have mathematical properties

- Methods of allocating subjects in RCTs in increasing order of rigorousity:
  - Cointossing
  - Coloured beads
  - Alternate allocation
  - Even/odd birthdates
  - Even/odd medical /records
  - Sealed envelopes

- Sealed envelopes / third party
- Numbered plain ampoules
- Computer
- Fax, telephone

## Study design

- An important point in the avoidance of moderate bias and random error
- The statement of the objective must be clearly specified
- The anticipated effect of the main outcome is well specified
- Possible candidates for enrolment are screened using a list of inclusion/evaluation criteria

- Obtain basic descriptive information from those excluded before randomisation
- NB: this data will be used to evaluate the representativeness of the study population
- Subjects randomised cannot be excluded from the final analysis and should be part of the follow up experience

- Subjects excluded before randomisation affect the composition of the study population (external validity) and the generalisation of the study results
- Homogeneous study population, selected using restricted entry criteria have less generalisation of results
- Subjects lost after randomisation affect the comparability of the groups or Tts (internal validity)

- The following points are considered in the design of RCTs:
  - Source of participants and eligibility criteria should be considered
  - The participants included
  - Those who met the eligibility criteria but did not enter into the study (this information is to ensure that the representativeness of the participants differ from the non-participants)

- Detailed description of the alternative forms of care is needed to ensure the reproducibility of the Tts in any new trial or control
- Randomisation method clearly described
- Administration of alternative forms of care should be blinded to avoid bias
- Assessment of the principal and secondary outcome measures should be blinded to those carrying out this phase

- Avoid compliance with the protocol
- Any cross over to the alternate Tt is reported
- Possible side -effects and complications should be described

### Baseline comparisons:

- Randomisations does not necessarily produce comparable groups
- There can be minor and sometimes major differences in the baseline variables
- Evaluate baseline differences between groups but not using statistical tests

- Use descriptive statistics such as standard deviation, range and selected centiles as well as the mean or median to evaluate the distribution of baseline variables
- Avoid standard errors and confidence intervals

### Description of materials and methods (1)

- 1. Is there an adequate description of the source of participants (hospital, outpatients clinic, etc.) and the timing and duration of recruitment?
- 2. Is there an adequate description of the entry and exclusion criteria?
- 3. Has the method of approach to potential participants and the information given to them been described?
- 4. Is there a satisfactory description of the actual way in which the treatment was assigned, and the use of prognostic stratification, if any?

## Description of materials and methods (2)

- 5. Have the forms of care compared (the treatment regimens), both experimental and control, been described in sufficient detail to allow replication?
- 6. Has the degree of masking ("blinding"), if any, of participants and investigators been described?
- 7. If a placebo was used, was there an assensement of its success in "making" the nature of the treatment

# Description of materials and methods (3)

- 8. Have the methods used to measure outcome been described, specifying whether or not the assessor knew the treatment allocation ("degree of masking")?
- 9. Has the objective been specified in terms of a quantified effect on a defined primary measure of outcome?
- 10. Is there an explanation of how the final sample size was chosen and a statement on statistical power in respect of the quantified effect on the primary measure of outcome?

# Description of materials and methods (4)

- 11. If there were any interim analyses, have the arrangements and methods used been described?
- 12. Have all the statistical methods been identified and is there a description of any statistical techniques used which are not in common use?

### Evaluation of the impact of the treatment

- If no Tt effect, and randomisation well conducted with adequate sample size, the incidence of the main outcome should be similar in both groups
- A sample comparison among incidence of the main outcome should be similar in both groups
- A simple comparison among incidence rates in both groups, rate ratio the ratio of the two incidence rates), and confidence intervals of the rate ratios is sufficient to present the results.