

Cohort studies

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What is a cohort?

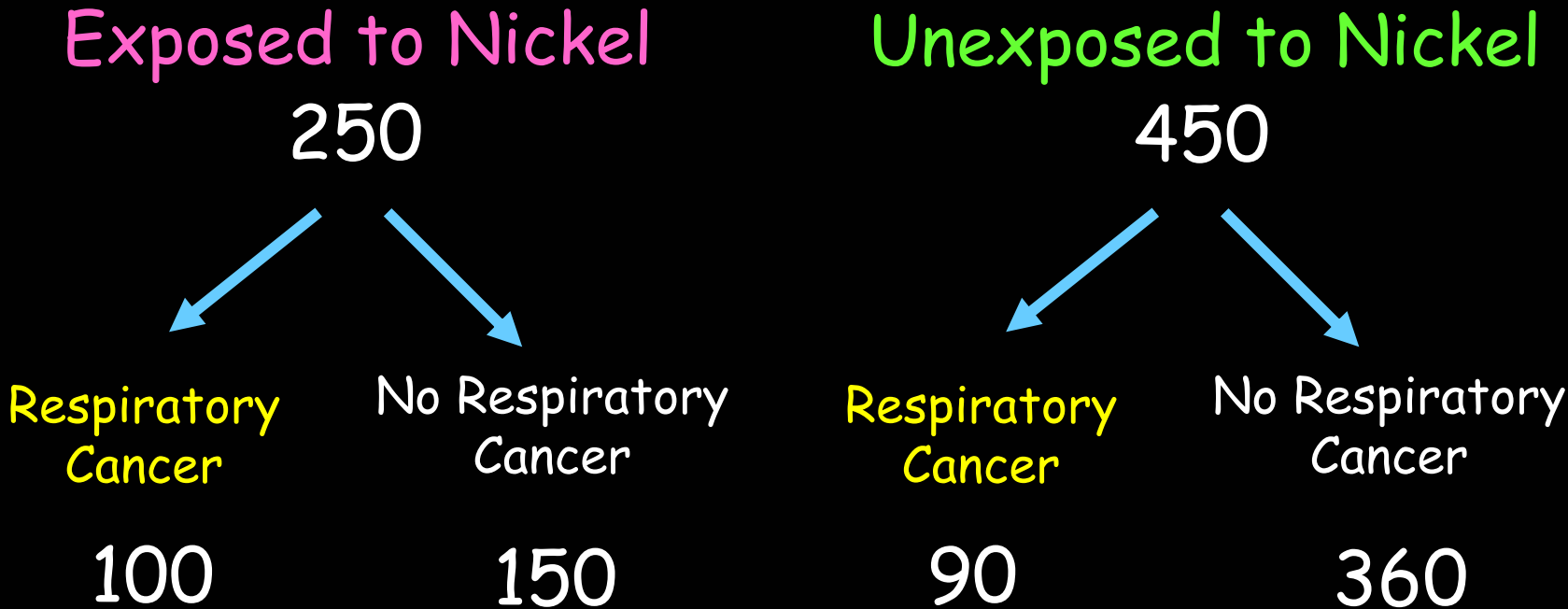
1. A place to play tennis
2. The tenth part of a Roman legion
3. A population that is surveyed at a given moment in time
4. People born hundred (co-hort) year apart
5. Equivalent to a trohoc

Outline

- **Working Example**
 - Welsh Nickel Workers Study
 - Description of the study and raw data in...
 - Breslow, N.E., Day N.E. Statistical Methods in Cancer Research. IARC, 1987:369-74

Cohort Design

SOUTH WALES REFINERY WORKERS



Example

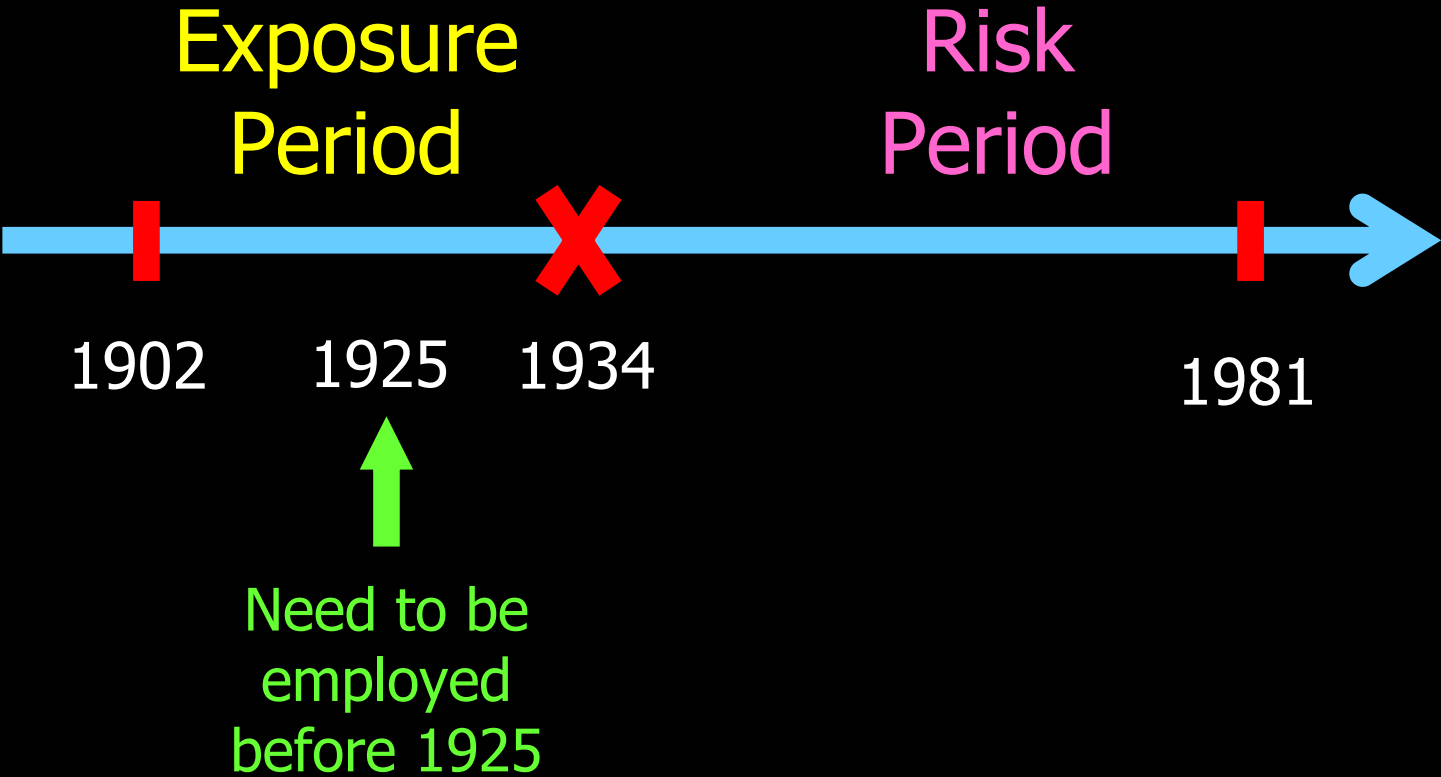
	250 Exposed To Nickel	450 Unexposed To Nickel
Respiratory Cancer	100	90
Person-years	4,100	11,000
Incidence Rate	0.024/yr	0.008/yr
Relative Incidence rate		3.0
Attributable Risk		0.016/yr

Study design

- Population: a Nickel factory of South Wales
- Nickel production by decomposition of gaseous nickel compounds
- Exposure: according to information on jobs at high risk of exposure held from 1902 to 1934
- Risk period: count cases of RC* between April 1934 to December 1981
- Outcome: respiratory, mostly lung and nasal cancer

* RC = respiratory cancer

Study design



Which is the most fundamental condition for the validity of this cohort design ?

- **Subjects need to be:**
 1. A random sample of the population?
 2. At risk of developing lung or nasal cancer ?
 3. Unlikely to get colon cancer ?
 4. Randomized to nickel exposure ?
 5. Willing to answer questionnaires for many years ?

“At risk of Respiratory Cancer”

- Never had respiratory cancer:
exclude prevalent cases
- Still have two lungs ... and a nose:
exclude subjects who cannot travel
from the denominator to the
numerator

“Incident Respiratory Cancer”

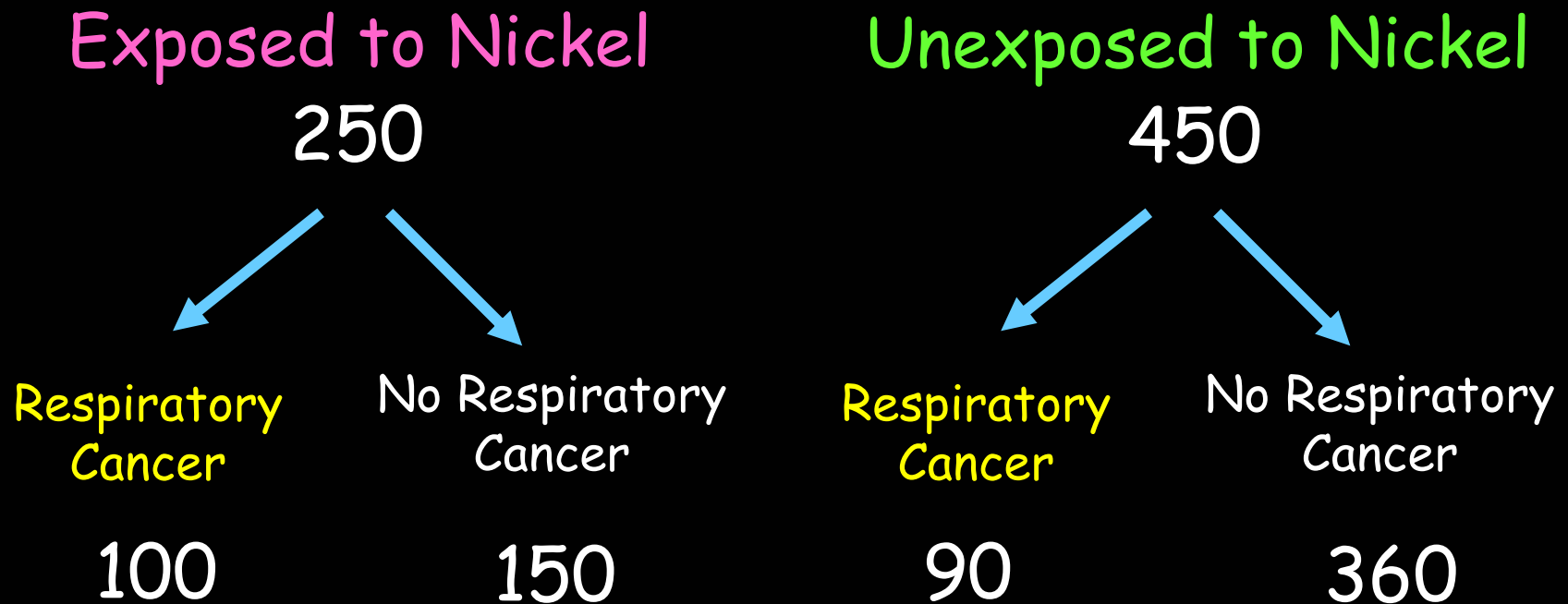
- Incident = “newly diagnosed”
- Between April 1, 1934 and December 31, 1981
 - Risk Period = 47 years
- Employed in the factory before 1925

What is the **risk** of respiratory cancer in this study ?

1. Probability of developing RC per 100,000 workers and per year
2. Probability of developing RC over 47 years
3. The excess probability of RC due to exposure
4. The ratio of the probability of RC in exposed over the probability of RC in unexposed
5. A synonymous for the odds of RC

Cohort Design

SOUTH WALES REFINERY WORKERS



Risk of respiratory cancer in unexposed

	Unexposed to Nickel
Respiratory Cancer	90
Total	450
Person-years	11,000
Risk =	

Interpretation:

What is the risk of respiratory cancer in unexposed ?

1. $\left(\frac{90}{450} \right)$ 2. $\left(\frac{90}{450-90} \right)$ 3. $\left(\frac{450-90}{450} \right)$

4. $\left(\frac{90}{11,000} \right)$ 5. $\left(\frac{90}{11,000 - 90} \right)$

Calculating Risk in Unexposed

$$\text{Risk}_{\text{time}} = \left(\frac{\text{New events}}{\text{Population "at risk" at baseline}} \right)$$

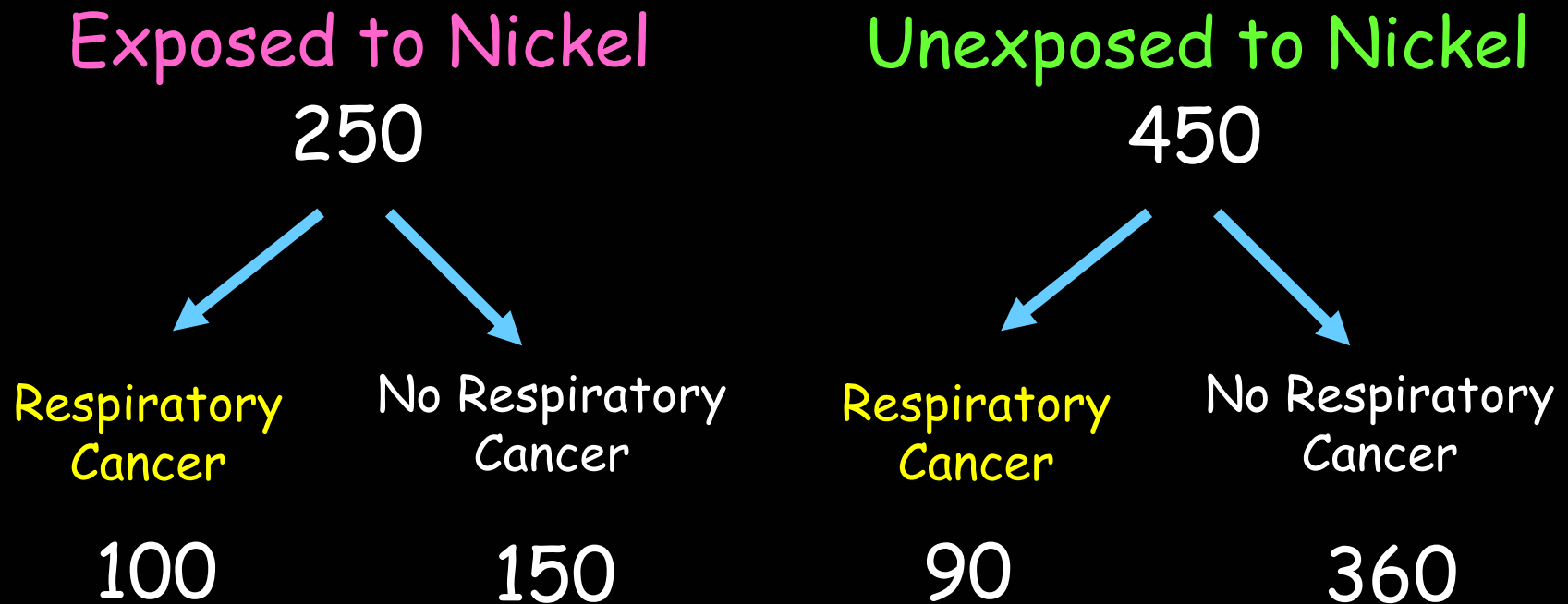
$$\text{Risk}_{47 \text{ yrs}} = \left(\frac{90 \text{ cases of RC}}{450 \text{ subjects free of RC}} \right) = 0.2 = 20\%$$

Risk in Unexposed

- **Interpretation:**
 - Probability of developing a respiratory cancer in workers unexposed to nickel is 20% over 47 years

Cohort Design

SOUTH WALES REFINERY WORKERS



Risk of respiratory cancer in exposed to nickel

	Exposed to Nickel
Respiratory Cancer	100
Total	250
Person-years	4,100
Risk =	

Interpretation:

Calculating Risk in Exposed

$$\text{Risk}_{\text{time}} = \left(\frac{\text{New events}}{\text{Population "at risk" at baseline}} \right)$$

$$\text{Risk}_{47 \text{ yrs}} = \left(\frac{100 \text{ cases of RC}}{250 \text{ subjects free of RC}} \right) = 0.4 = 40\%$$

Risk in Exposed

- **Interpretation:**
 - Probability of developing a respiratory cancer in workers exposed to nickel is 40% over 47 years

What is an **incidence rate** of respiratory cancer in this study?

1. Probability of developing RC per 100,000 workers and per year
2. Probability of developing RC over 47 years
3. The excess probability of RC due to exposure
4. The ratio of the probability of disease in exposed over the probability of disease in unexposed
5. Equivalent to the odds of disease (odds of RC)

Notation

- **R** = Risk
- **IR** = Incidence rate
- **E+** = Exposed to nickel
- **E-** = Non-exposed to dimes
- **R(E+)** = Risk in exposed to nickel
- **IR(E+)** = Incidence rate in exposed to nickel

Incidence rate (IR) = risk per unit of time

- Risk period = 47 yrs.
- Some subjects followed-up for < 47 yrs.
 - E.g., cases, losses to follow-up

Solution # 1

- = divide risk by average duration of follow-up (44yrs)

$$\text{Risk} = \left(\frac{\text{New RC cases}}{\text{Pop. at risk}} \right)$$

$$\text{Incidence Rate} = \left(\frac{\text{New RC cases}}{\text{Pop. at risk} * \text{Duration}} \right)$$

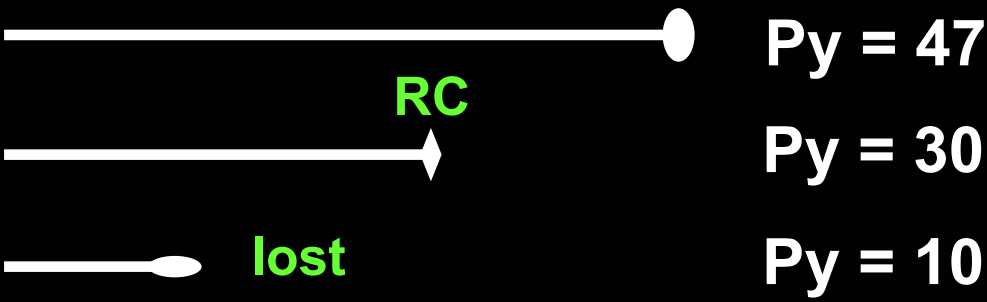
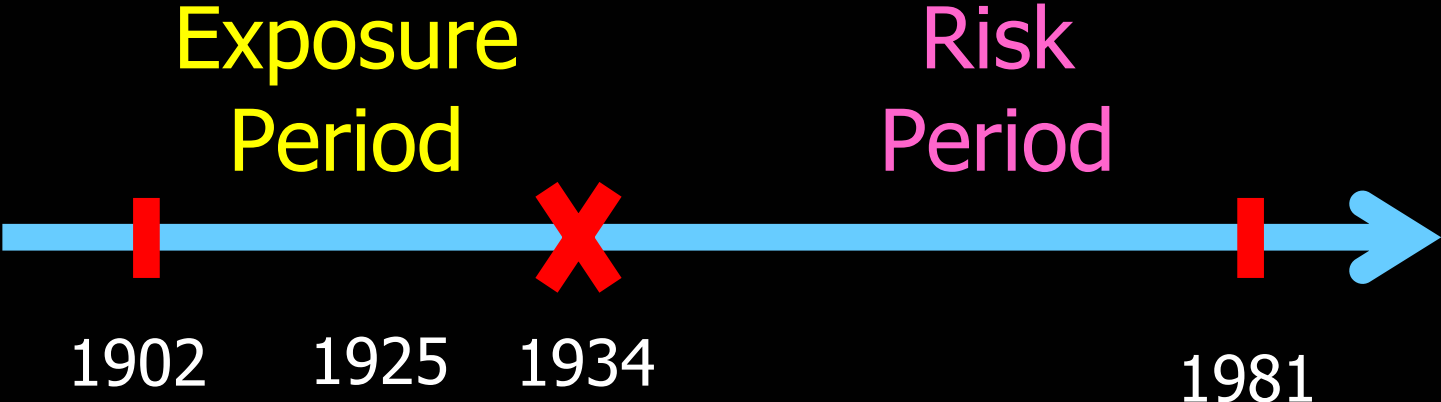
$$\begin{aligned} \text{IR (E-)} &= \left(\frac{90 \text{ cases RC}}{250 \text{ men} * 44 \text{ yrs}} \right) \\ &= \left(\frac{90}{11,000 \text{ person-years}} \right) = 0.008/\text{yr} \end{aligned}$$

Incidence rate (IR) = risk per unit of time

Solution # 2

- Use person-time as denominator
- 1 person followed for 2 years = 2 person-year
- 1 person followed for 1 year = 1 person-year

Study design



Example

	Exposed to Nickel	Unexposed to Nickel
Respiratory Cancer	100	90
Person-years	4,100	11,000
Incidence Rate	?	0.008

$$\mathbf{IR (E+)} = \left(\frac{100 \text{ cases RC}}{4,100 \text{ person-years}} \right)$$

$$= \mathbf{0.024/yr}$$

What is an **attributable risk** in this study?

1. The ratio of the risk of RC in exposed to Nickel over the risk in unexposed?
2. The risk of RC that is not due to Nickel exposure
3. The excess rate of RC observed in subjects exposed to nickel compared to unexposed
4. The number of workers that need to be exposed to nickel in order to observe an additional case of RC
5. All of the above

Absolute Effect: Attributable Risk (AR) (2)

$$AR = IR(E+) - IR(E-)$$

$$= IR (E+) - IR (E -)$$

$$= 0.024/\text{yr} - 0.008/\text{yr} = 0.016/\text{yr}$$

$$= 16 / 1,000/\text{y}$$

$$= \text{Excess IR of RC due to nickel}$$

Attributable Risk

- **Additive Model:**

- $$IR(E+) = \left[IR(E-) + AR \right] = 0.008 + 0.016 = 0.024$$

- **Synonymous:**

- Excess Risk
 - Risk Difference
 - Excess Rate

- **Note:** Don't confuse with "population attributable risk"

What is a **relative risk** in this study?

1. The ratio of the IR of RC in exposed to nickel over the IR in unexposed?
2. The IR of RC that is not due to nickel exposure
3. The excess risk of RC observed among subjects exposed to nickel
4. The number of workers that need to be exposed to nickel in order to observe an additional case of RC
5. None of the above

Relative Effect: Relative Incidence Rate (RIR)*

$$\mathbf{RIR} = \left(\frac{\text{IR}(E+)}{\text{IR}(E-)} \right) = \left(\frac{0.024}{0.008} \right) = \mathbf{3.0}$$

* Also referred to as relative risk

Relative Effect

- Risk in exposed is a multiple of risk in unexposed
- **IR(E+)** = [IR(E-) * RIR] = [0.008 * 3.0]
= **0.024/yr**

Relative Effect

RIR $>$ **1** Nickel exposure increases RC risk

RIR $=$ **1** No effect of nickel exposure

RIR $<$ **1** Nickel exposure protects from RC

Relative or Absolute Effect

R(E+)

R(E-)

RR

AR

24
‰/yr

8 ‰/yr

3.0

16 ‰/yr

60
‰/yr

20
‰/yr

3.0

40 ‰/yr

Interpretation

- **Attributable risk** measures clinical and public health importance of the causal relationship
- **Relative risk** assesses strength of the association

Example: Wrapping up

	250 Exposed To Nickel	450 Unexposed To Nickel
Respiratory Cancer	100	90
Person-years	4,100	11,000
Incidence Rate	0.024/yr	0.008/yr
Relative Incidence rate		3.0
Attributable Risk		0.016/yr

Prospective Studies: **Advantages**

- Exposure to postulated cause is assessed before occurrence of disease
- Possible to estimate **all** measures of incidence and effect
- Possible to study several outcomes to one cause

Prospective Studies: **Disadvantages**

- Requires large investments in time, human and financial resources
- Requires large sample sizes (e.g., 110.000 nurses, 59.600 doctors, 1.2 millions volunteers), unless risk period is very long
- Not easy to reproduce (Re: consistency of the association)

What is a RCT ?

1. The comparison of cases of disease with controls
2. A cohort study in which exposure is randomized?
3. A study design invented by R.A. Fisher
4. A study design discovered by G. Mendel
5. The only **fully** valid study design available in epidemiology

Prospective Studies: **RCT**

- Sub-type of cohort study in which exposure (i.e., treatment) is randomly assigned
- Important in evidence-based medicine